

Dkt. 1567/73975/JPW/MVM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Emanuel Hanski, et al.

Serial No.: 10/525,178 Examiner: Not Yet Known

Filed : August 19, 2003 Art Unit: Not Yet Known

For : COMPOSITIONS AND METHODS FOR THE TREATMENT AND

PROPHYLAXIS OF INFECTIONS CAUSED BY GRAM POSITIVE

BACTERIA

1185 Avenue of the Americas New York, New York 10036

November 17, 2006

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Applicants are filing this Supplemental Information Disclosure Statement to supplement the Information Disclosure Statement filed on March 27, 2006 in connection with the above-identified application.

In accordance with their duty of disclosure under 37 C.F.R. §1.56, applicants direct the Examiner's attention to the following references which are listed on the attached Form PTO-1449 (Exhibit A). Copies of references 14-68 are attached hereto as Exhibits 1-55, respectively.

 U.S. Patent No. 4,064,236, issued December 20, 1977, to Dorn et al.;

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- 2. U.S. Patent No. 4,457,917, issued July 3, 1984, to Schaller nee Kornmayer et al.;
- 3. U.S. Patent No. 4,639,435, issued January 27, 1987, to Fujii et al.;
- 4. U.S. Patent No. 4,755,383, issued July 5, 1988, to Fujii et al.;
- 5. U.S. Patent No. 4,900,549, issued February 13, 1990, to De Vries et al.;
- 6. U.S. Patent No. 5,164,372, issued November 17, 1992, to Matsuo et al.;
- 7. U.S. Patent No. 5,298,246, issued March 29, 1994, to Yano et al.;
- 8. U.S. Patent No. 5,354,900, issued October 11, 1994, to Matsuo et al.;
- 9. U.S. Patent No. 5,418,219, issued May 23, 1995, to Ueda;
- 10. U.S. Patent No. 5,439,688, issued August 8, 1995, to Orsolini et al.;
- 11. U.S. Patent No. 5,554,378, issued September 10, 1996, to Uda et al.;

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- 12. U.S. Patent No. 5,733,877, issued March 31, 1998, to Sato et al.;
- 13. U.S. Patent No. 5,736,519, issued April 7, 1998, to Deigin et al.;
- 14. Ashbaugh, C. D., et al. (1998) "Molecular Analysis of the Role of the Group A Streptoccocal Cysteine Protease, Hyaluronic Acid Capsule, and M Protein in a Murine Model of Human Invasive Soft-Tissue Infection," J. Clin. Invest. 102: 550-560 (Exhibit 1);
- 15. Barker, F. G., et al. (1987) "Streptococcal Necrotising Fasciitis: Comparison Between Histological and Clinical Features," J. Clin. Pathol. 40: 335-341 (Exhibit 2);
- 16. Beall, B., et al. (1996) "Sequencing emm-Specific PCR
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 Streptococci," J. Clin. Microbiol. 34: 953-958 (Exhibit 3);
- 17. Berge, A., et al. (1998) "Identification of an Insertion
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 Streptococcus pyogenes," Infect. Immun. 66: 3449-3453
 (Exhibit 4);
- 18. Bisno, A. L., et al. (1996) "Streptococcal Infections of Skin and Soft Tissues," N. Engl. J. Med. 334: 240-245 (Exhibit 5);

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- 20. Chaussee, M. S., et al. (1996) "Genetic and Phenotypic
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 Invasive Infections," J. Infect. Dis. 173: 901-908
 (Abstract) (Exhibit 7);
- 21. Chiang, L., et al. (1999) "In Vivo Genetic Analysis of Bacterial Virulence," Annu. Rev. Microbiol. 53: 129-154 (Exhibit 8);
- 22. Cleary, P. P., et al. (1992) "Clonal Basis for Resurgence of Serious Streptococcus Pyogenes Disease in the 1980s," *Lancet* 339: 518-521 (Exhibit 9);
- 23. Cockerill, F. R., et al. (1998) "Molecular, Serological, and Clinical Features of 16 Consecutive Cases of Invasive Streptococcal Disease," Clin. Infect. Dis. 26: 1448-1458 (Exhibit 10);
- 24. Davies, H. D., et al. (1996) "Invasive Group A Streptococcal Infections in Ontario, Canada," N. Engl. J. Med. 335: 547-554 (Exhibit 11);
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- 27. Facklam, R., et al. (1999) "emm Typing and Validation of
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- 28. Ferretti, J. J., et al. (2001) "Complete Genome Sequence of an M1 Strain of Streptococcus pyogenes," Proc. Natl. Acad. Sci. U.S.A. 98: 4658-4663 (Exhibit 15);
- 29. Gresham, H. D., et al. (2000) "Survival of Staphylococcus aureus Inside Neutrophils Contributes to Infection," J. Immunol. 164: 3713-3722 (Exhibit 16);
- 30. Gryllos, I., et al. (2001) "Regulation of Capsule Gene Expression by Group A Streptococcus during Pharyngeal Colonization and Invasive Infection," Mol. Microbiol. 42: 61-74 (Exhibit 17);
- 31. Håvarstein, L. S., et al. (1997) "Natural Competence in the Genus Streptococcus: Evidence that Streptococci Can Change

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- 32. Havarstein, L. S., et al. (1995) "A Family of Bacteriocin ABC Transporters Carry Out Proteolytic Processing of their Substrates Concomitant with Export," *Mol. Microbiol*. 16: 229-240 (Abstract) (Exhibit 19);
- 33. Hensel, M., et al. (1995) "Simultaneous Identification of Bacterial Virulence Genes by Negative Selection," Science 269: 400-403 (Abstract) (Exhibit 20);
- 34. Hidalgo-Grass, C., et al. (2002) "A Locus of Group A Streptococcus Involved in Invasive Disease and DNA Transfer," Mol. Microbiol. 46: 87-99 (Exhibit 21);
- 35. Hui, F. M., et al. (1995) "Competence for Genetic Transformation in Streptococcus pneumoniae: Organization of a Regulatory Locus with Homology to Two Lactococcin A Secretion Genes," Gene 153: 25-31 (Exhibit 22);
- 36. Ji, Y., et al. (1996) "C5a Peptidase Alters Clearance and Trafficking of Group A Streptococci by Infected Mice,"

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- 37. Kansal, R. G., et al. (2003) "Selective Modulation of Superantigen-Induced Responses by Streptococcal Cysteine Protease," J. Infect. Dis. 187: 398-407 (Exhibit 24);

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- 39. Kuo, C., et al. (1998) "Role of Streptococcal Pyrogenic Exotoxin B in the Mouse Model of Group A Streptococcal Infection," Infect. Immun. 66: 3931-3935 (Exhibit 26);
- 40. Lacks, S. A., et al. (2001) "Constitutive Competence for Genetic Transformation in Streptococcus pneumoniae Caused by Mutation of a Transmembrane Histidine Kinase," Mol. Microbiol. 42: 1035-1045 (Exhibit 27);
- 41. Lancefield, R. C., et al. (1957) "Differentiation of Group A Streptococci with a Common R Antigen into Three Serological Types, with Special Reference to the Bactericidal Test," J. Exp. Med. 106: 525-544 (Exhibit 28);
- 42. Lukomski, S., et al. (1999) "Extracellular Cysteine Protease Produced by Streptococcus pyogenes Participates in the Pathogenesis of Invasive Skin Infection and Dissemination in Mice," Infect. Immun. 67: 1779-1788 (Exhibit 29);
- 43. Lukomski, S., et al. (1997) "Inactivation of Streptococcus pyogenes Extracellular Cysteine Protease Significantly Decreases Mouse Lethality of Serotype M3 and M49 Strains,"

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- 45. Lyon, W. R., et al. (1998) "A Role for Trigger Factor and an Rgg-Like Regulator in the Transcription, Secretion and Processing of the Cysteine Proteinase of Streptococcus pyogenes," Embo J. 17: 6263-6275 (Exhibit 32);
- 46. Mecsas, J. (2002) "Use of Signature-tagged Mutagenesis in pathogenesis Studies," Curr. Opin. Microbiol. 5: 33-37 (Exhibit 33);
- 47. Medina, E., et al. (2003) "Survival of Streptococcus pyogenes within Host Phagocytic Cells: A Pathogenic Mechanism for Persistence and Systemic Invasion," J. Infect. Dis. 187: 597-603 (Exhibit 34);
- 48. Merrifield, B. (1986) "Solid Phase Synthesis," Science 232: 341-347 (Exhibit 35);
- 49. Miller, M. B., et al. (2001) "Quorum Sensing in Bacteria,"

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- 52. Moses, A. E., et al. (1997) "Relative Contributions of Hyaluronic Acid Capsule and M Protein to Virulence in a Mucoid Strain of the Group A Streptococcus," Infect. Immun. 65: 64-71 (Exhibit 39);
- 53. Moses, A. E., et al. (2003) "emm Typing of M Nontypeable Invasive Group A Streptococcal Isolates in Israel," J. Clin. Microbiol. 41: 4655-4659 (Exhibit 40);
- 54. Muotiala, A., et al. (1997) "Molecular Comparison of Group A Streptococci of T1M1 Serotype From Invasive and Noninvasive Infections in Finland," J. Infect. Dis. 175: 392-399 (Abstract) (Exhibit 41);
- 55. Norgren, M., et al. (1992) "Genetic Diversity in Tlm1 Group A Streptococci in Relation to Clinical Outcome of Infection," J. Infect. Dis. 166: 1014-1020 (Abstract) (Exhibit 42);
- 56. Norrby-Teglund, A., et al. (2001) "Evidence for Superantigen Involvement in Severe Group A Streptococcal Tissue Infections," J. Infect. Dis. 184: 853-860 (Exhibit 43);

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- 58. Pozzi, G., et al. (1996) "Competence for Genetic Transformation in Encapsulated Strains of Streptococcus pneumoniae: Two Allelic Variants of the Peptide Pheromone,"

 J. Bateriol. 178: 6087-6090 (Exhibit 45);
- 59. Ravins, M., et al. (2000) "Characterization of a Mouse-Passaged, Highly Encapsulated Variant of Group A Streptococcus in In Vitro and In Vivo Studies," J. Infect. Dis. 182: 1702-1711 (Exhibit 46);
- 60. Sherman, D. B., et al. (1990) "Compatibility of Thioamides with Reverse Turn Features: Synthesis and Conformational Analysis of Two Model Cyclic Pseudopeptides Containing Thioamides as Backbone Modifications," J. Am. Chem. Soc. 112: 433-441 (Exhibit 47);
- 61. Smoot, J. C., et al. (2002) "Genome Sequence and Comparative Microarray Analysis of Serotype M18 Group A Streptococcus Strains Associated with Acute Rheumatic Fever Outbreaks," Proc. Natl. Acad. Sci. U.S.A. 99: 4668-4673 (Exhibit 48);
- 62. Stanley, J., et al. (1995) "Molecular Subtyping of Prevalent M Serotypes of Streptococcus pyogenes Causing Invasive Disease," J. Clin. Microbiol. 33: 2850-2855 (Exhibit 49);

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- 64. Thorsett, E. D., et al. (1983) "Dipeptide mimics. Conformationally Restricted Inhibitors of Angiotensin-converting Enzyme," *Biochem. Biophys. Res. Commun.* 111: 166-171 (Abstract) (Exhibit 51);
- 65. Van Damme, J., et al. (1997) "Granulocyte Chemotactic Protein-2 and Related CXC Chemokines: From Gene Regulation to Receptor Usage," J. Leukoc. Biol. 62: 563-569 (Exhibit 52);
- 66. Veber, D. F., et al. (1978) "Conformationally Restricted Bicyclic Analogs of Somatostatin," Proc. Natl. Acad. Sci. U.S.A. 75: 2636-2640 (Exhibit 53);
- 67. von Pawel-Rammingen, U., et al. (2003) "IdeS and SpeB: Immunoglobulin-Degrading Cysteine Proteinases of Streptoccoccus pyogenes," Curr. Opin. Microbiol. 6: 50-55 (Exhibit 54); and
- 68. Wexler, D. E., et al. (1985) "Mechanism of Action of the Group A Streptococcal C5a Inactivator," *Proc. Natl. Acad. Sci. U.S.A.* 82: 8144-8148 (Exhibit 55).

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Applicants note that above-identified references 1-13 are issued U.S. patents. Accordingly, pursuant to 37 C.F.R. §1.98(a)(2)(ii), copies of these patents are not required to be provided to the United States Patent and Trademark Office.

Applicants request that the Examiner review the references listed above and make them of record in the subject application.

If a telephone interview would be of assistance in advancing prosecution of the subject application, applicants' undersigned attorney invites the Examiner to telephone him at the number provided below.

Pursuant to 37 C.F.R. §1.97(b)(3), no fee is deemed necessary in connection with the filing of this Supplemental Information Disclosure Statement. However, if any fee is required, authorization is hereby given to charge the amount of such fee to Deposit Account No. 03-3125.

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Respectfully submitted,

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Arlington, VA 22313-1450

oth P. White

n P. White . No. 28,678 Date

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Attorney for Applicants
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1185 Avenue of the Americas
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Application Number Form PTO-1449 U.S. Department of Commerce 10/525,178 Filing Date August 19, 2003 **Patent and Trademark Office** First Named Inventor Emanuel Hanski, et al. Art Unit Not Yet Known INFORMATION DISCLOSURE STATEMENT Examiner Name (Use several sheets if necessary) Not Yet Known Attorney Docket No. 1567/73975/JPW/MVM **U.S. PATENT DOCUMENTS** Cite Examiner **Document Number** Name of Patentee or Applicant of Cited Document Number-Kind Code^{2 (if known)} Initials No.1 MM-DD-YYYY US 4,064,236 1 12-20-1977 Dorn et al. US 4,457,917 07-03-1984 Schaller nee Kornmayer et al. US 4,639,435 3 01-27-1987 Fujii et al. US 4,755,383 Fujii et al. 07-05-1988 US 4,900,549 02-13-1990 De Vries et al. 5 6 US 5,164,372 11-17-1992 Matsuo et al. US 5,298,246 03-29-1994 Yano et al. 8 US 5,354,900 10-11-1994 Matsuo et al. 9 US 5,418,219 05-23-1995 Ueda US 5,439,688 08-08-1995 10 Orsolini et al. 11 US 5,554,378 09-10-1996 Uda et al. 12 US 5,733,877 03-31-1998 Sato et al. 13 US 5,736,519 04-07-1998 Deigin et al. .FOREIGN PATENT DOCUMENTS Name of Patentee or Applicant of Cited Foreign Patent Document Country Code³ Number⁴⁻Kind Code^{5 (if known)} Cite Examiner Publication T^6 Document Initials No.1 Date MM-DD-YYYY

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ² See Kinds of Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English Language Translation is attached.

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Applicants: Emanuel Hanski, et al. U.S. Serial No.: 10/525,178 Filed: August 19, 2003

Exhibit A

Form PTO-1449

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Form PTO-1449 **U.S. Department of Commerce** Application Number 10/525,178 Filing Date August 19, 2003 Patent and Trademark Office First Named Inventor Emanuel Hanski, et al. Art Unit INFORMATION DISCLOSURE CITATION Not Yet Known (Use several sheets if necessary) Examiner Name Not Yet Known 1567/73975/JPW/MVM Attorney Docket No. NON PATENT LITERATURE DOCUMENTS Examiner Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the T² item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), No.1 Initials publisher, city and/or country where published. Cleary, P. P., et al. (1992) "Clonal Basis for Resurgence of Serious Streptococcus Pyogenes Disease in the 1980s," 22 Lancet 339: 518-521 Cockerill, F. R., et al. (1998) "Molecular, Serological, and Clinical Features of 16 Consecutive Cases of Invasive Streptococcal Disease," Clin. Infect. Dis. 26: 1448-1458 Η. D., et al. (1996) "Invasive Streptococcal Infections in Ontario, Canada, " N. Engl. J. Med. 335: 547-554 Saizieu, al. (2000) "Microarray-Based Α., et Identification of a Novel Streptococcus pneumoniae Regulon Controlled by an Autoinduced Peptide," J. Bacteriol. 182: 4696-4703 Engleberg, N. C., et al. (2001) "Spontaneous Mutations in the CsrRS Two-Component Regulatory System of Streptococcus pyogenes Result in Enhanced Virulence in a Murine Model of Skin and Soft Tissue Infection, " J. Infect. Dis. 183: 1043-1054 Facklam, R., et al. (1999) "emm Typing and Validation of Provisional M Types for Group A Streptococci, " Emerg. Infect. Dis. 5: 247-253 Ferretti, J. J., et al. (2001) "Complete Genome Sequence 28 of an M1 Strain of Streptococcus pyogenes, " Proc. Natl. Acad. Sci. U.S.A. 98: 4658-4663 Gresham, H. D., et al. (2000) "Survival of Staphylococcus aureus Inside Neutrophils Contributes to Infection, J. Immunol. 164: 3713-3722 Gryllos, I., et al. (2001) "Regulation of Capsule Gene Expression by Group A Streptococcus during Pharyngeal Colonization and Invasive Infection, " Mol. Microbiol. 42: Håvarstein, L. S., et al. (1997) "Natural Competence in

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Interspecies

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Application Number Form PTO-1449 **U.S. Department of Commerce** 10/525,178 Filing Date August 19, 2003 Patent and Trademark Office First Named Inventor Emanuel Hanski, et al. Art Unit INFORMATION DISCLOSURE CITATION Not Yet Known Use several sheets if necessary) Examiner Name Not Yet Known 1567/73975/JPW/MVM Attorney Docket No. NON PATENT LITERATURE DOCUMENTS T² Examiner Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the No.1 item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), Initials publisher, city and/or country where published. Hensel, M., et al. (1995) "Simultaneous Identification of Bacterial Virulence Genes by Negative Selection, " Science 269: 400-403 (Abstract) Hidalgo-Grass, C., et al. (2002) "A Locus of Group A Streptococcus Involved in Invasive Disease and DNA Transfer, Mol. Microbiol. 46: 87-99 М., et al. (1995) "Competence for Genetic Transformation in Streptococcus pneumoniae: Organization of a Regulatory Locus with Homology to Two Lactococcin A Secretion Genes, " Gene 153: 25-31 Ji, Y., et al. (1996) "C5a Peptidase Alters Clearance and Trafficking of Group A Streptococci by Infected Mice," Infect. Immun. 64: 503-510 Kansal, R. G., et al. (2003) "Selective Modulation of Superantigen-Induced Responses by streptococcal Cysteine Protease," J. Infect. Dis. 187: 398-407 Kotb, M., et al. (2002) "An Immunogenetic and Molecular Basis for Differences in Outcomes of Invasive Group A Sreptococcal Infections, " Nat. Med. 8: 1398-1404 Kuo, C., et al. (1998) "Role of Streptococcal Pyrogenic Exotoxin B in the Mouse Model of Group A Streptococcal Infection," Infect. Immun. 66: 3931-3935 Lacks, S. A., et al. (2001) "Constitutive Competence for Genetic Transformation in Streptococcus pneumoniae Caused by Mutation of a Transmembrane Histidine Kinase," *Mol*. Microbiol. 42: 1035-1045 Lancefield, R. C., et al. (1957) "Differentiation of Group 41 A Streptococci with a Common R Antigen into Serological Types, with Special Reference Bactericidal Test," J. Exp. Med. 106: 525-544 et al. (1999)"Extracellular 42 Protease Produced by Streptococcus pyogenes Participates in the Pathogenesis of Invasive Skin Infection and Dissemination in Mice, " Infect. Immun. 67: 1779-1788

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